

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Daniel C. Guterman et al.		
Title:	Novel Multi-State Memory		
Application No.:	Unassigned	Filing Date:	Herewith
Examiner:	Unassigned	Group Art Unit:	Unassigned
Docket No.:	SNDK.138USM	Conf. No.:	Unassigned

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

- ☒ Copies of the documents listed on the accompanying Form PTO-1449 are enclosed.
- ☒ Copies of the documents listed on the accompanying Form PTO-1449 that are not enclosed were previously submitted in Application No. 10/013,592, from which this Application claims an earlier effective filing date.
- ☒ This application has a filing date after June 30, 2003. Copies of the U.S. Patent(s) and U.S. Published Patent Application(s) documents listed on the accompanying Form PTO-1449 are not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or

Attorney Docket No.: SNDK.138USM

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(3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

**EXPRESS MAIL  
LABEL NO:**

EV 437668010 US

Respectfully submitted,

*Gerald P. Parsons*  
Gerald P. Parsons  
Reg. No. 24,486

*March 24, 2004*  
Date

PARSONS HSUE & DE RUNTZ LLP  
655 Montgomery Street, Suite 1800  
San Francisco, CA 94111  
(415) 318-1160  
(415) 693-0194 (fax)



U.S. Department of Commerce, Patent and Trademark				Atty Docket No.		Application No.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SNDK 138USI		Unknown	
				Applicant(s)			
(Use several sheets if necessary)				Guterman et al.			
				Filing Date		Group	
				Unknown		Unknown	

  

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/VN/		6,339,546	1/15/02	Katayama et al.			

  

Foreign Patent Documents							
		Document	Date	Country	Class	Subclass	Translation
							Yes No

  

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		

  

Examiner	/Viet Nguyen/ (07/01/2008)	Date Considered	07/01/2008
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

FORM PT01449  
(REV. 8-83)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

M-10277-3C

UNASSIGNED

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## APPLICANT

Hock C. So and Sau C. Wong

## FILED

October 18, 2001

## GROUP

Not Assigned

## U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER								DATE	NAME	CLASS	SUB CLASS	FILING DATE
/N/	A1	4	4	6	0	9	8	2		7/17/1984	Gee et al.			
	A2	4	6	1	2	6	3	0		9/16/1986	Rosier			
	A3	4	9	6	4	0	7	9		10/16/1990	Devin			
	A4	5	1	5	1	9	0	6		9/29/1992	Sawada			
	A5	5	1	5	7	6	2	9		10/10/1992	Sato et al.			
	A6	5	2	3	9	5	0	5		8/24/1993	Fazio et al.			
	A7	5	3	9	4	3	5	9		2/28/1995	Kowalski			
	A8	5	5	2	3	9	7	2		6/04/1996	Rashid et al.			
	A9	5	5	3	2	9	6	2		7/02/1996	Auclair et al.			
	A10	5	5	8	3	8	1	2		12/10/1996	Harari			
	A11	5	6	5	2	7	2	0		7/29/1997	Aulas et al.			
	A12	5	6	8	9	4	6	5		11/1997	Sukegawa et al.			
	A13	5	7	5	1	6	3	9		5/1998	Ohsawa			
	A14	5	7	6	1	1	2	5		6/02/1998	Himeno			
	A15	5	8	3	5	4	1	3		11/10/1998	Hürter et al.			
/N/	A16	5	9	0	9	4	4	9		6/01/1999	So et al.			

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		DOCUMENT NUMBER								DATE	COUNTRY	CLASS	SUB CLASS	TRANS.? (YES/NO)
/N/	B1	WO	9	0	1	2	4	0	0	10/18/1999	W.I.P.O.			

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

/N/	C1	Lee, E.K.F., et al., "Error Correction Technique For Multivalued MOS Memory," <u>Electronic Letters</u> , Vol. 27, No. 15 (July 18, 1991) pps. 1321-1323												
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## EXAMINER

/Viet Nguyen/ (07/01/2008)

## DATE CONSIDERED

07/01/2008

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH: /N/



ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VN/

FORM PT01449  
(REV. 8-83)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

HARI.026US5

SERIAL NO.

09/431,078

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT

Daniel L. Auclair et al.

FILED

November 9, 1999

GROUP

3742

U. S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
/VN/	A1 4 2 1 8 7 6 4	1980	Furuta et al.			
	A2 4 2 5 3 0 5 9	1981	Bell et al.			
	A3 4 4 6 0 9 8 2	1984	Geo et al.			
	A4 4 6 1 2 6 3 0	1986	Rosier			
	A5 4 7 3 3 3 9 4	1988	Giebel			
	A6 4 7 6 3 3 0 5	1988	Kuo			
	A7 4 7 7 9 2 7 2	1988	Kohda et al.			
	A8 4 7 9 9 1 9 5	1989	Iwahashi et al.			
	A9 4 8 0 9 2 3 1	1989	Shannon et al.			
	A10 5 1 2 2 9 8 5	1992	Santin			
	A11 5 1 3 2 9 3 5	1992	Ashmore, Jr.			
	A12 5 1 5 1 9 0 6	1992	Sawada			
	A13 5 1 5 7 6 2 9	1992	Sato et al.			
	A14 5 1 7 2 3 3 8	1992	Mehrotra et al.			
	A15 5 2 0 0 9 2 2	1993	Rao			
	A16 5 2 3 9 5 0 5	1993	Fazio et al.			
	A17 5 2 6 2 9 8 4	1993	Noguchi et al.			
/VN/	A18 5 2 7 8 7 9 4	1994	Tanaka et al.			

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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS.? (YES/NO)

EXAMINER

/Viet Nguyen/ (07/01/2008)

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07/01/2008

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**GROUP**  
**3742**

## ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VN7

**Notice of References Cited**Application No.  
**09/437,073**

Applicant(s)

**Aucclair et al.**

Examiner

**Emmanuel L. Moise**

Group Art Unit

**2784**

Page 1 of 1

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A	5,341,334	8/1994	Maruyama	365	189.11
B	5,675,537	8/1994	Bill et al.	365	185.22
C	5,703,506	12/1997	Shook et al.	327	87
D	5,717,632	2/1998	Richart et al.	365	185.01
E					
F					
G					
H					
I					
J					
K					
L					
M					

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
N						
O						
P						
Q						
R						
S						
T						

**NON-PATENT DOCUMENTS**

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
U		
V		
W		
X		

U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Application No.	
				M-10202-5C US		09/437,078	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicants			
(Use several sheets if necessary)				Daniel L. Auclair et al.			
				Filing Date		Group	
				November 9, 1999		2133	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/VN/	1	4,827,450	May 2, 1989	Kowalski			
/VN/	2	5,070,032	Dec. 3, 1991	Yuan et al.			
/VN/	3	5,200,959	Apr. 6, 1993	Gross et al.			
	4	5,270,979	Dec. 14, 1993	Harari et al.			
Foreign Patent Documents							
							Translation
		Document	Date	Country	Class	Subclass	Yes No
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
Examiner	/Viet Nguyen/ (07/01/2008)			Date Considered 07/01/2008			
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Express Mail No.: EV259164755US

U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Application No.	
				M-10249-14P US		10/013,592	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s)		Confirmation No.	
(Use several sheets if necessary)				Daniel C. Guterman et al.		1251	
				Filing Date		Art Unit Group	
				November 13, 2001		2818	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/VN/	1	5,043,940	Aug. 27, 1991	Harari			
	2	5,172,338	Dec. 15, 1992	Mehrotra et al.			
	3	5,270,979	Dec. 14, 1993	Harari et al.			
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	5	5,315,541	May 24, 1994	Harari et al.			
	6	5,430,859	Jul. 4, 1995	Norman et al.			
	7	5,434,825	Jul. 18, 1995	Harari			
	8	5,453,955	Sep. 26, 1995	Sakui et al.			
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	12	5,629,892	May 13, 1997	Tang			
V	13	5,687,114	Nov. 11, 1997	Khan			
/VN/	14	6,317,363 B1	Nov. 13, 2001	Guterman et al.			
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							Yes No
/VN/	15	JP 7287984 A	1995 Jan. 31	Japan			Abstract X
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)							
Examiner	/Viet Nguyen/ (07/01/2008)			Date Considered 07/01/2008			
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Express Mail No.: EU877118100US

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VN/

U.S. Department of Commerce, Patent and Trademark Office

Any Docket No.

Application No.

SNDK.138USE  
formerly (M-10249-14P US)

10/013,592

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicants

Confirmation No.

(Use several sheets if necessary)

Daniel C. Guterman et al.

1251

Filing Date

Art Unit Group

November 13, 2001

2818

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*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/VN/	1	Re. 32,401	4/1987	Beilstein, Jr. et al.			
	2	4,074,304	2/1978	Shiba			
	3	4,151,020	4/1979	McElroy			
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							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
/VN/	12	0373830	6/1990	EP				X
/VN/	13	0725403A1	8/1996	EP			X	
/VN/	14	6-1181168	8/1986	Japan				X
/VN/	15	1-304784	12/1989	Japan				X
/VN/	16	2-360	1/1990	Japan				X

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/VN/	17	K. Naruke et al., "A New Flash-Erase EEPROM Cell with A Sidewall Select-Gate On Its Source Side", IEDM 89-603, IEEE (1989), pp. 603-606.
/VN/	18	M. Kamiya et al., "EPROM Cell with High Gate Injection Efficiency", IEDM 82-741, IEEE (1982), p. 741-744.
/VN/	19	J. Van Houdt, et al., "A 5-Volt-Only Fast-Programmable Flash EEPROM Cell with A Double Polysilicon Split-Gate Structure", Interuniversity Microelectronics Center (Feb. 1991).

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Date Considered

07/01/2008

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U.S. Department of Commerce, Patent and Trademark Office

Atty Docket No.

Application No.

SNDK.138USE  
formerly (M-10249-14P US)

10/013,592

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicants

Confirmation No.

(Use several sheets if necessary)

Daniel C. Guterman et al.

1251

Filing Date

Art Unit Group

November 13, 2001

2818

## U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/VN/	20	4,462,090	7/1984	Iizuka			
/VN/	21	4,488,265	12/1984	Kotecha			
	22	4,561,004	12/1985	Kuo et al.			
	23	4,575,925	3/1986	Kanbara et al.			
	24	4,583,201	4/1986	Bertin et al.			
	25	4,616,402	10/1986	Mori			
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	27	4,652,897	3/1987	Okuyama et al.			
	28	4,663,827	5/1987	Nakahara			
	29	4,677,736	7/1987	Brown			
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							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
/VN/	31	WO 97/22123	6/1997	WIPO			X	
/VN/	32	WO 90/12400	10/1990	WIPO			X	

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/VN/	33	Yale Ma, et al. "A Dual-bit Split-Gate EEPROM (DSG) Cell in Contactless Array for Single-Vcc High Density Flash Memories", IEDM 94-57, IEEE (1994), pp. 57-60.
/VN/	34	G.S. Alberts et al., "Multi-Bit Storage FET EAROM Cell", G.S. IBM Technical Disclosure Bulletin, vol. 24, No. 7A (Dec.1981), pp. 3311-3314.
/VN/	35	Takeshi Nakayama, "A 5-V-only One-Transistor 256K EEPROM with Page-Mode Erase", IEEE (Aug. 1989), vol. 24, No. 4, pp. 911-915.

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Date Considered

07/01/2008

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U.S. Department of Commerce, Patent and Trademark Office

Atty Docket No.

Application No.

SNDK.138USE  
formerly (M-10249-14P US)

10/013,592

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicants

Confirmation No.

(Use several sheets if necessary)

Daniel C. Guterman et al.

1251

Filing Date

Art Unit Group

November 13, 2001

2818

## U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/VN/	36	4,803,529	2/1989	Masuoka			
	37	4,805,142	2/1989	Bertin et al.			
	38	4,811,285	3/1989	Walker et al.			
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	40	4,821,236	4/1989	Hayashi et al.			
	41	4,862,418	8/1989	Cuppens et al.			
	42	4,943,962	7/1990	Imamiya et al.			
	43	4,990,979	2/1991	Otto			
	44	4,997,781	3/1991	Tigelaar			
	45	5,011,787	4/1991	Jeuch			
/VN/	46	5,017,515	5/1991	Gill			

## Foreign Patent Documents

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		Document	Date	Country	Class	Subclass	Yes	No

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/VN/	47	Mike McConnell, et al., "An Experimental 4-Mb Flash EEPROM with Sector Erase", IEEE (Apr. 1991), vol. 26, No. 4, pp. 484-489.
/VN/	48	Masaki Momodomi, et al., "A 4-Mb Flash EEPROM with Tight Programmed V.sub.t Distribution", IEEE (Apr. 1991), vol. 26, No. 4, pp. 492-495.
/VN/	49	Fujio Masuoka, et al., "A 256-kbit Flash E.sup.2 PROM Using Triple-Polysilicon Technology", IEEE (Aug. 1987).

Examiner /Viet Nguyen/ (07/01/2008)

Date Considered

07/01/2008

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U.S. Department of Commerce, Patent and Trademark Office

Atty Docket No.

Application No.

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10/013,592

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicants

Confirmation No.

(Use several sheets if necessary)

Daniel C. Guterman et al.

1251

Filing Date

Art Unit Group

November 13, 2001

2818

## U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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	52	5,045,488	9/1991	Yeh			
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	55	5,070,032	12/1991	Yuan et al.			
	56	5,081,054	1/1992	Wu et al.			
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	58	5,095,344	3/1992	Harari			
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## Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No

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/VN/	61	Dumitru Cioaca, et al., "A Million-Cycle 256K EEPROM", IEEE (Oct. 1987), vol. SC-22, No. 5, pp. 684-692.
/VN/	62	Cristiano Calligaro, et al., "A New Serial Sensing Approach for Multistorage Non-Volatile Memories", IEEE (1995), pp. 21-26.
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Examiner /Viet Nguyen/ (07/01/2008)

Date Considered

07/01/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office	Atty Docket No. SNDK.138USE formerly (M-10249-14P US)	Application No. 10/013,592
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicants Daniel C. Guterman et al.	Confirmation No. 1251
	Filing Date November 13, 2001	Art Unit Group 2818

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*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
/VN/	64	5,218,569	6/1993	Banks			
/VN/	65	5,225,361	7/1993	Kakiuchi et al.			
/VN/	66	5,247,485	9/1993	Ide			
/VN/	67	5,264,384	11/1993	Kaya et al.			
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## Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

/VN/	75	International Search Results & Annex (Communication relating to PCT/US98/15657). 1998

Examiner /Viet Nguyen/ (03/22/2008) Date Considered 03/22/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

U.S. Department of Commerce, Patent and Trademark Office

Atty Docket No.

Application No.

SNDK.138USE  
formerly (M-10249-14P US)

10/013,592

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicants

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(Use several sheets if necessary)

Daniel C. Guterman et al.

1251

Filing Date

Art Unit Group

November 13, 2001

2818

## U.S. Patent Documents

*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
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	77	5,378,643	1/1995	Ajika et al.			
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	80	5,420,060	5/1995	Gill et al.			
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	82	5,491,657	2/1996	Haddad et al.			
	83	5,509,134	4/1996	Frdrich et al.			
	84	5,606,521	2/1997	Kuo et al.			
	85	5,629,890	5/1997	Engh			
/VN/	86	5,656,840	8/1997	Yang			

## Foreign Patent Documents

							Translation	
		Document	Date	Country	Class	Subclass	Yes	No

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


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07/01/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VN/

Atty Docket No.

Application No.

**SNDK.138USE**  
formerly (M-10249-14P US)

10/013-592

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

### Applicants

Confirmation No. \_\_\_\_\_

(Use several sheets if necessary)

Daniel C. Guterman et al.

1251

Filing Date

**Art Unit Group**

November 13, 2001

2818

## U.S. Patent Documents

[illegible]

### Foreign Patent Documents

[illegible]

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)


Examiner	Viet Nguyen/ (07/01/2008)	Date Considered
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07/01/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.

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FORM PTO-892		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		Serial No. 09/113,800	GROUP ART UNIT 2784	ATTACHMENT TO PAPER NUMBER 7
NOTICE OF REFERENCES CITED				APPLICANT(S) Auclair et al.		

  

U.S. PATENT DOCUMENTS							
*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
/VN	A	5,270,551	12/14/93	Kamimura et a	250	515.1	02/13/91
	B	4,962,322	10/09/90	Chapman	327	581	
	C	4,937,787	06/26/90	Kobatake	365	185.22	
	D	4,733,394	03/22/88	Giebel	365	201	
	D	4,703,453	10/27/87	Shinoda et al.	365	104	
✓	E	4,612,630	09/16/86	Rosier	365	201	
/VN	F	4,139,911	02/13/79	Sciulli et al.	365	207	
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*	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS	PERTINENT SHTS. DWS	PP. SPEC
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)	
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EXAMINER David Ton	03/04/99	Form 892BMR2167
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\* A copy of this reference is not being furnished with this office action.  
See Manual of Patent Examining Procedure, section 707.05(a.)

FORM PT01449  
(REV. 8-83)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
HARL026US4SERIAL NO.  
09/113,800

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT  
DANIEL L. AUCLAIR et al.FILED  
July 8, 1998GROUP  
2784

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*EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILED DATE
DT	A1	4	2	1	8	7	6	4	1980	Furuta et al.	365	222	
DT	A2	4	2	5	3	0	5	9	1981	Bell et al.	714	721	
DT	A3	4	4	6	0	9	8	2	1984	Gee et al.	365	185.8	
DT	A4	4	6	1	2	6	3	0	1986	Rosier	365	201	
DT	A5	4	7	3	3	3	9	4	1988	Giebel	714	721	
DT	A6	4	7	6	3	3	0	5	1988	Kuo	365	185.22	
DT	A7	4	7	7	9	2	7	2	1988	Kobda et al.	714	721	
DT	A8	4	7	9	9	1	9	5	1989	Iwahashi et al.	365	185.22	
DT	A9	4	8	0	9	2	3	1	1989	Shannon et al.	365	201	
DT	A10	5	1	2	2	9	8	5	1992	Sentin	365	185.19	
DT	A11	5	1	3	2	9	3	5	1992	Ashmore, Jr.	365	185.19	
DT	A12	5	1	5	1	9	0	6	1992	Sawada	714	805	
DT	A13	5	1	5	7	6	2	9	1992	Sato et al.	365	201	
DT	A14	5	1	7	2	3	3	8	1992	Mehrotra et al.	365	185.03	
DT	A15	5	2	0	0	9	2	2	1993	Rao	365	185.09	
DT	A16	5	2	3	9	5	0	5	1993	Fazio et al.	365	185.11	
DT	A17	5	2	6	2	9	8	4	1993	Noguchi et al.	365	185.03	
DT	A18	5	2	7	8	7	9	4	1994	Tanaka et al.	365	185.7	

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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANS? (YES/NO)

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DATE CONSIDERED

11/30/99

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FORM PT01449  
(REV. 8-83)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

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SERIAL NO.

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APPLICANT

Daniel L. Auclair, et al.

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## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILE DATE
B	A	5 2 3 9 5 0 5	8/24/93	Fazio, et al.			
B	B	5 1 5 1 9 0 6	9/29/92	Sawada			
B	C	4 7 9 9 1 9 5	1/17/89	Iwahashi, et al.			
B	D	4 7 3 3 3 9 4	3/22/88	Giebel			
B	E	4 4 6 0 9 8 2	7/17/84	Gee, et al.			
B	F	4 2 5 3 0 5 9	2/24/81	Bell, et al.			
B	G	4 8 0 9 2 3 1	2/28/89	Shannon, et al.			
B	H	4 6 1 2 6 3 0	9/16/86	Rosier			
B	I	4 7 7 9 2 7 2	10/18/88	Kohda, et al.			
B	J	5 1 7 2 3 3 8	12/15/92	Mehrotra, et al.			

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS. (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)


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8-15-95

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Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-892  
(REV. 2-82)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

SERIAL NO.

GROUP ART UNIT

ATTACHMENT  
TO  
PAPER  
NUMBER

5

06/406,677

2306

APPLICANT(S)

Auclair et al

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		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
✓	A	5122985	6/92	Sartin	365	185	4/16/90
✓	B	5132935	7/92	Ashmore Jr	365	185	4/16/90
✓	C	5313427	5/94	Schreck et al	365	185	9/20/91
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## FOREIGN PATENT DOCUMENTS

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GROUP	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64	65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96	97	98	99	100
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /VN/

TO SEPARATE, HOLD TOP AND BOTTOM EDGES, SNAP-APART AND DISCARD CARBON

FORM PTO-892 (REV. 2-92)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		SERIAL NO. 866030	GROUP/UNIT 2511	ATTACHMENT TO PAPER NUMBER	
NOTICE OF REFERENCES CITED				APPLICANT(S) Auelair et al			
U.S. PATENT DOCUMENTS							
*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE	
✓A	4612430	9/1986	Rosier	365	201		
✓B	4779372	10/1988	Kchda et al	365	201X		
✓C	5172339	12/1992	Mebrotra et al	365	185	4/11/96	
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*	DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS	PERTINENT PAGES
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ALL REFERENCES CONSIDERED EXCEPT WHERE INDICATED THROUGH. /VN/

U.S. Department of Commerce, Patent and Trademark Office		A. Docket No.	Serial No.
		M-3115-1P US	Unknown
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>			
(Use several sheets if necessary)			
		Applicants	
		Hock C. So and Sau C. Wong	
		Filing Date	Group
		Herewith	Unknown

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
DL	AA	4,964,079	10/16/90	Devin	365	168	
	AB	5,689,465	11/97	Sukegawa et al.	371	10	
	AC	5,751,639	5/98	Ohsawa	365	227	
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Foreign Patent Documents							
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<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>	
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Examiner <i>Del Yon</i>	Date Considered <i>7/99</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.	

**Notice of References Cited**Application No.  
**09/200,220**

Applicant(s)

**SO ET AL.**

Examiner

**David Lum**

Group Art Unit

**2818**

Page 1 of 1

**U.S. PATENT DOCUMENTS**

* DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
A 5,761,125	6/2/98	Himeno	365	185.24
X B 5,909,449	6/1/99	So et al.	371	21.4
C				
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* DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUBCLASS
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**NON-PATENT DOCUMENTS**

* DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
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\* A copy of this reference is not being furnished with this Office action.  
(See Manual of Patent Examining Procedures, Section 707.05(a).)

**Notice of References Cited**

Application/Control No.

09/680,797

Applicant(s)/Patent Under  
Reexamination  
SO ET AL

Examiner

David Lam

Art Unit

2818

Page 1 of 1

**U.S. PATENT DOCUMENTS**

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\*A copy of this reference is not being furnished with this Office action. (See Manual of Patent Examining Procedure, Section 707.05(a).)  
 \*\*APS encompasses any electronic search i.e. text, image, and Commercial Databases.



PT01449  
v. 8-83)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
HARL176US2SERIAL NO.  
UNASSIGNED

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

## APPLICANT

Hock C. So and Sau C. Wong

## FILED

UNASSIGNED

## GROUP

2818

## U. S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
DL	A1	4 4 6 0 9 8 2	7/17/1984	Gee et al.	—	—	
	A2	4 6 1 2 6 3 0	9/16/1986	Rosier	—	—	
	A3	4 9 6 4 0 7 9	10/16/1990	Devin	365	168	
	A4	5 1 5 1 9 0 6	9/29/1992	Sawada	—	—	
	A5	5 1 5 7 6 2 9	10/10/1992	Sato et al.	—	—	
	A6	5 2 3 9 5 0 5	8/24/1993	Fazio et al.	—	—	
	A7	5 3 9 4 3 5 9	2/28/1995	Kowalski	—	—	
	A8	5 5 2 3 9 7 2	6/04/1996	Rashid et al.	—	—	
	A9	5 5 3 2 9 6 2	7/02/1996	Auclair et al.	—	—	
	A10	5 5 8 3 8 1 2	12/10/1996	Harari	—	—	
	A11	5 6 5 2 7 2 0	7/29/1997	Aulas et al.	—	—	
	A12	5 6 8 9 4 6 5	11/1997	Sukegawa et al.	371	10	
	A13	5 7 5 1 6 3 9	5/1998	Ohsawa	—	—	
	A14	5 7 6 1 1 2 5	6/02/1998	Himeno	365	185.24	
	A15	5 8 3 5 4 1 3	11/10/1998	Harter et al.	—	—	
DL	A16	5 9 0 9 4 4 9	6/01/1999	So et al.	371	21.4	

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANS. (YES/NO)
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NOT FOUND	C1	Lee, E.K.F., et al., "Error Correction Technique For Multivalued MOS Memory," <u>Electronic Letters</u> , Vol-27 No. 15 (July 18, 1991) pps. 1321-1323
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EXAMINER

H. J. So

DATE CONSIDERED

01/01

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**Notice of References Cited****U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification	
*	A	US-5,712,815-	01-1998	Bill et al.	365	185.03
	B	US- -				
	C	US- -				
	D	US- -				
	E	US- -				
	F	US- -				
	G	US- -				
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**FOREIGN PATENT DOCUMENTS**

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**NON-PATENT DOCUMENTS**

Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)

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 Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.